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**PATENT**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON THE DATE INDICATED BELOW.

BY: Louise D. Train

DATE: January 17, 2002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re	Patent Application of	Office of Initial Patent
	Eric Garver <i>et al.</i>	Examination
	:	:
Conf. No.:	5387	:
	:	:
Appln. No.:	09/932,300	:
	:	:
Filed	August 17, 2001	Attorney Docket No.
	:	9855-3U2
For	METHODS OF INHIBITING ALCOHOL	:
	CONSUMPTION	:

**REQUEST FOR CORRECTED FILING RECEIPT**

Attached is a copy of the Filing Receipt issued for this patent application.

**In the "Assignment For Published Patent Application":**

After "Thomas", please delete "Jefferson" and substitute --Jefferson-- therefor.

**In the "Title":**

After "Methods", please delete "if" and substitute --of-- therefor.

Please make these corrections and provide us with a Corrected Filing Receipt.

**In the "Filing Date":**

Please change the filing date from "August 20, 2001" to --August 17, 2001--. A Petition to Correct Filing Date Pursuant to 37 C.F.R. §1.10(c) is being filed concurrently herewith.

Respectfully submitted,

**ERIC GARVER ET AL.**

By:

**GARY D. COLBY, PH.D., J.D.**

Registration No. 40,961

**AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.**

One Commerce Square

2005 Market Street, Suite 2200, Philadelphia, PA 19103

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GDC:lcd/Enclosure

PHL 151807 v1

Methods of inhibiting alcohol consumption  
of

**Preliminary Class**

536

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



COMMERCIAL  
MAIL

**PATENT**

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BY: Lorraine Drain

DATE: January 17, 2002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re	Patent Application of	:	Office of Initial Patent
	Eric Garver <i>et al.</i>	:	Examination
	:	:	:
Conf. No.:	5387	:	:
	:	:	:
Appln. No.:	09/932,300	:	:
	:	:	:
Filed	August 17, 2001	:	Attorney Docket No.
	:	:	9855-3U2
For	METHODS OF INHIBITING ALCOHOL	:	:
	CONSUMPTION	:	:

**PETITION FOR CORRECTED FILING DATE**  
**PURSUANT TO 37 C.F.R. §1.10(c)**

Applicant hereby petitions under 37 C.F.R. §1.10(c) to obtain a corrected filing date of August 17, 2001 for the above-identified application.

This Petition is being filed promptly upon the discovery of the incorrect filing date, in accordance with 37 C.F.R. §1.10(c)(1).

We attach herewith a copy of the application transmittal letter dated August 17, 2001 and a copy of the return post card, each of which indicates Express Mail Label No. EL665882005US. The return post card indicates the application number (09/932,300) which was issued by the United States Patent and Trademark Office. In accordance with 37 C.F.R. §1.10(c)(2), the Express Mail Label No. was placed on the application transmittal letter and the return post card prior to the original mailing by Express Mail.

The above-identified patent application was submitted to the United States Postal Service (hereafter "USPS") in Philadelphia, Pennsylvania on August 17, 2001 under Express Mail Label No. EL665882005US. We submit herewith a copy of a printout from the USPS Product Tracking System identifying the Express Mail Label No. EL665882005US and

indicating that it was deposited on August 17, 2001. On the same page is a true copy of the actual Express Mail label showing a "date-in" of 8/17/01. Both are submitted in accordance with 37 C.F.R. §1.10(c)(3).

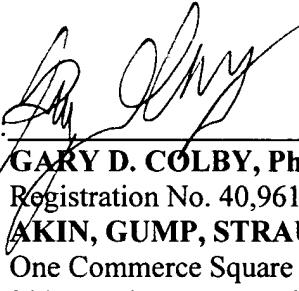
The Applicants petition that the filing date be corrected to **August 17, 2001**. A Request for Corrected Filing Receipt is being filed concurrently herewith.

Respectfully submitted,

**ERIC GARVER ET AL.**

17 January 2002  
(Date)

By:

  
**GARY D. COLBY, Ph.D., J.D.**

Registration No. 40,961

**AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.**

One Commerce Square  
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E-mail: [gcolby@akingump.com](mailto:gcolby@akingump.com)

ASN:GDC:lcd

Enclosure



NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)

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-Duplicate  
(check, if applicable)

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, DC 20231

Attorney Docket No. 9855-3U2 (OTT-3031-2)  
First Named Inventor: Eric Garver  
Express Mail Label No. EL665882005US  
Total Pages of Transmittal Form: 2

Transmitted herewith for filing is the non-provisional utility patent application entitled:

**Methods of Inhibiting Alcohol Consumption**

which is:

an  Original; or  
a  Continuation,  Divisional, or  Continuation-in-part (CIP)  
of prior Application No. 09/109,663 filed July 2, 1998.  
Anticipated Group/Art Unit: &@ or Class &@, Subclass &@.  
 This non-provisional patent application is based on Provisional Patent Application  
No. 60/051,705, filed July 3, 1997.

Enclosed are:

Specification (including Abstract) and claims: 93 pages.  
 Application Data Sheet.  
 Non-executed Declaration.  
 Copy of Declaration from prior application.  
 Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).  
 14 sheets of drawings (formal).  
 Transmittal Letter Accompanying Submission of Compact Disc in Accordance  
with 37 C.F.R. §1.52(e), plus two identical compact discs (for computer program  
Appendix)  
 Nucleotide and/or Amino Acid Sequence Submission, including:  
 Computer readable copy  Paper Copy (30 pages)  Verified Statement.  
 Under PTO-1595 Cover Sheet, an assignment of the invention  
 Name of Assignee: Thomas Jefferson University  
 Certified copy(ies) of &@ Application No(s). &@ filed &@ is/are filed:  
 herewith or  in prior application &@.  
 Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under  
37 C.F.R. §1.27 as  an Independent Inventor, or  a Small Business Concern,  
or  a Non-Profit Organization.  
 Preliminary Amendment.  
 Information Disclosure Statement, PTO-1449, and cited references.  
 Request for Nonpublication of Application Under 35 U.S.C. §122(b)

COPY

The filing fee is calculated as follows:

			SMALL ENTITY		LARGE ENTITY		
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$355		BASIC FEE: \$710		
Total	34 - 20 =	14	× 9	\$ 126	× 18	\$	
Independent	3 - 3 =	0	× 40	\$	× 80	\$	
<input type="checkbox"/> Multiple Dependent Claims Present			\$135	\$	\$270	\$	
			<b>TOTAL</b>	<b>\$ 481</b>	<b>OK</b>	<b>TOTAL</b>	<b>\$</b>

The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.

A check in the amount of \$481.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 209855.0085)** as noted below. A duplicate copy of this sheet is enclosed.

Any overpayments or deficiencies in the above-calculated fee.

Filing fee in the amount of \$ \_\_\_\_\_ as calculated above.

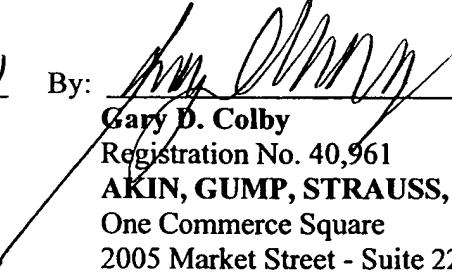
Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.

In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

**CORRESPONDENCE ADDRESS:**

17 August 2001  
(Date)

By:

  
Gary D. Colby  
Registration No. 40,961  
**AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.**  
One Commerce Square  
2005 Market Street - Suite 2200  
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Telephone: 215-965-1200  
Direct Dial: 215-965-1285  
Facsimile: 215-965-1210  
E-Mail: GColby@akingump.com

Customer Number or Bar Code Label: 000570

Enclosures



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ATTY GOC, ASN SECY 17 August 2001  
ATTY DKT # 9855-3V2 TODAY'S MAILING DATE 17 August 2001  
EXPRESS MAIL # EL665882005US CERT OF MAIL/CERT OF SERVICE  
PAT APP/PATENT/REEXAM/INTF# Not Yet Assigned  
OF Eric Garver et al.  
FOR: "Methods of Inhibiting Alcohol Consumption"  
RECEIPT IS ACKNOWLEDGED BY THE USPTO FOR THE FOLLOWING:  
 PAT API (PROVISION-PROVIDES/REISSUE)  
 DECL & POW (EXECUTED/INEXECUTED)  
93 PAGES TOTAL TEXT  
54 TOTAL # CLAIMS  
14 SHEETS DRAWING (FORMAL/INFORMAL)  
 SEQUENCE LISTING (PAPER COPY/DISK)  
 COMPUTER CODE  
 PRELIMINARY AMENDMENT  
 PRIORITY DOC.  
COUNTRY NO NO copy  
 TRANSMITTAL LTR (USPTO/NAT'L PHASE)  
 REV/APPT OF ATTORNEY REQUEST (STATUS/CERTIF CORR/COR FILING RT/REFUND)  
TOTAL FEES AUTH CHARGE DEP ACCT. 5481 Check # 5481  
OTHER (PAPER TITLE) Statement to Support Filing



FROM : EXPEDITED MAIL SERVICE

FAX NO. : 215-895-8633

Nov. 28 2001 10:07AM P1

Z723

PRODUCT TRACKING SYSTEM PAGE 001 OF 001

11/28/2001 08:41:00

LABEL EVENT DETAILS

EB4195

LBL: EL665882005US

DT MAILED: 08/17/2001 TM MAILED: 17:00

DESTINATION: ZIP: 20231 CITY: WASHINGTON STATE: DC  
ORIGIN: ZIP: 19104 CITY: PHILADELPHIA STATE: PA  
CLASS: Express Mail SERVICE: PO - ADDRESSEE SIGNATURE IND: 2  
SCHD DELV DATE/TIME: POSTAGE: \$ .00 OTHER FEE: \$ .00  
SPCL SERVICES:

WEIGHT LB: 0 OZ: 8 EMCA/FED: 191008 CDA#:  
DELV RQMT: NORMAL PO BOX? N CUSTOMS IN: OUT:

EVENT: DELIVERED ZIP: 20231 DATE: 08/20/2001 TIME: 05:34  
CITY: WASHINGTON STATE: DC RECIPIENT: M BOSTON  
EVENT: NOTICE LEFT ZIP: 20231 DATE: 08/18/2001 TIME: 10:19  
CITY: WASHINGTON STATE: DC  
EVENT: ARRIVAL AT UNIT ZIP: 20066 DATE: 08/18/2001 TIME: 09:37  
CITY: WASHINGTON STATE: DC

PF7=LBL-ID PF7=PREV PAGE PF8=NEXT PAGE PF10=EXIT  
CBE723-035: LAST PAGE. PF8 IS NOT VALID

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TO ADDRESSEE



\*EL665882005US\*

ORIGIN (POSTAL USE ONLY)		DELIVERY (POSTAL USE ONLY)	
PO ZIP Code: 19104	Day of Delivery	Flat Rate Envelope	Employee Signature
Done In	<input type="checkbox"/> Next <input checked="" type="checkbox"/> Same Day	<input type="checkbox"/>	Mo. Day
Time In	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$ 12.45	AM <input type="checkbox"/> PM <input type="checkbox"/>
Time In	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Return Receipt Fee	Employee Signature
Weight	<input type="checkbox"/> 1 oz. <input type="checkbox"/> 2 oz. <input type="checkbox"/> 3 oz.	Int'l Alpha Country Code	Mo. Day
No Delivery	<input type="checkbox"/> Weekend <input type="checkbox"/> Monday	COD Fee	AM <input type="checkbox"/> PM <input type="checkbox"/>
Acceptance Clerk Initials		Insurance Fee	Signature of Addressee or Agent
		Total Postage & Fees \$ 24.5	
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METHOD OF PAYMENT: Personal Check Account No. X191008		WARRIOR OF SIGNATURE: I declare that I am the authorized representative of the addressee to whom this delivery was made, and that I have received the contents of this delivery without obtaining a signature or acknowledgment from anyone else. I further declare that I am the owner of the contents of this delivery or have the right to receive the contents of this delivery.	
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AKIN GUMP STRAUSS HAUER & FELD LLP 2005 MARKET ST FL 22 PHILADELPHIA PA 19103-7014		Assistant Commissioner for Patents BOX PATENT APPLICATION Washington DC 20231	
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289

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FAX NO. : 215-895-8633

Nov. 28 2001 09:56AM P1

Z723 PRODUCT TRACKING SYSTEM PAGE 001 OF 001  
11/28/2001 08:41:00 LABEL EVENT DETAILS EB4195

LBL: EL665882005US DT MAILED: 08/17/2001 TM MAILED: 17:00

DESTINATION: ZIP: 20231 CITY: WASHINGTON STATE: DC  
ORIGIN: ZIP: 19104 CITY: PHILADELPHIA STATE: PA  
CLASS: Express Mail SERVICE: PO - ADDRESSEE SIGNATURE IND: 2  
SCHD DELV DATE/TIME: POSTAGE: \$ .00 OTHER FEE: \$ .00  
SPCL SERVICES:

WEIGHT LB: 0 OZ: 8 EMCA/FED: 191008 CDA#:  
DELV RQMT: NORMAL PO BOX? N CUSTOMS IN: OUT:  
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EVENT: DELIVERED ZIP: 20231 DATE: 08/20/2001 TIME: 05:34  
CITY: WASHINGTON STATE: DC RECIPIENT: M BOSTON

EVENT: NOTICE LEFT ZIP: 20231 DATE: 08/18/2001 TIME: 10:19  
CITY: WASHINGTON STATE: DC

EVENT: ARRIVAL AT UNIT ZIP: 20066 DATE: 08/18/2001 TIME: 09:37  
CITY: WASHINGTON STATE: DC

EVENT: ACCEPT OR PICKUP ZIP: 19104 DATE: 08/17/2001 TIME: 17:00  
CITY: PHILADELPHIA STATE: PA

PF3=NEW LBL-ID PF7=PREV PAGE PF8=NEXT PAGE PF10=EXIT

CBE723-035: LAST PAGE. PF8 IS NOT VALID



OCT 11 2002

FEB 11 2002

## UNITED STATES PATENT AND TRADEMARK OFFICE

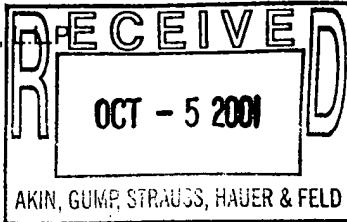
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

Page 1 of 2

1A

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/932,300	08/20/2001 →17	1635	481	9855-3U2	14	34	3

000570  
AKIN, GUMP, STRAUSS, HAUER & FELD,  
ONE COMMERCE SQUARE  
2005 MARKET STREET, SUITE 2200  
PHILADELPHIA, PA 19103



FILING RECEIPT

OC000000006829386\*

Date Mailed: 10/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Eric Garver, Wayne, PA;  
Guang-Chou Tu, East Norriton, PA;  
Yedy Israel, Philadelphia, PA;

## Assignment For Published Patent Application

Thomas Jefferson University, Philadelphia, PA;  
Thomas Jefferson

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/109,663 07/02/1998 PAT 6,277,981  
WHICH CLAIMS BENEFIT OF 60/051,705 07/03/1997

COPY

## Foreign Applications

If Required, Foreign Filing License Granted 10/01/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

